

PHASE 3 – OBLIGATED AS OF: JANUARY 1, 2020

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT NOT INCLUDED IN THE OBLIGATED CATEGORY |
|---------------------------|--|--|--|--|
| DESKTOP COMPUTERS | <p>A computer terminal designed to reside on a desk or similar worksurface.</p> <p>May be packaged with a mouse, keyboard, cables, speakers, webcam and other peripherals in a single Original Equipment Manufacturer (OEM) package carrying a single SKU, subject to a single desktop computer EHF.</p> <p>Includes desktop computers and desktop computers acting as servers.</p> | \$1.00 | <p>Standalone:</p> <ul style="list-style-type: none"> • Computer terminal • Desktop computer used as a server • Thin client • Zero Clients with CPU • Desktop TowerServer • Minicomputers • Nettop computers | <ul style="list-style-type: none"> • Non-standalone computer terminal that is embedded into a non-regulated product • Rack mounted and floor-standing server • Uninterruptible Power Supply (UPS) • Cash registers/POS terminals • Zero Clients with no CPU |
| PORTABLE COMPUTERS | <p>A personal computer designed for portable use</p> | \$0.75 | <ul style="list-style-type: none"> • Laptop computer • Notebook computer • Tablet computer • Netbook computer | <ul style="list-style-type: none"> • PDA (non-cellular enabled) – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • Calculator |
| DISPLAY DEVICES | <p>A non-portable video display device that typically resides on a table, floor or wall and requires AC power to operate.</p> <p>May contain an imbedded television tuner, or may be used for displaying images from computers or other digital or analog sources such as an external TV tuner or cable/satellite receiver.</p> <p>Includes various display technologies, such as CRT, flat panel (LCD, Plasma, LED, OLED, etc.) or rear projection.</p> | <p>Display device w/ diagonal screen size:</p> <p>≤ 29":\$5.00 30-45":\$8.00 ≥ 46":\$16.00</p> | <p>Standalone:</p> <ul style="list-style-type: none"> • Television • Computer monitor • Professional display • Closed circuit monitor screen • Graphic tablets with display • Electronic whiteboards with display | <ul style="list-style-type: none"> • Non-standalone displays that are embedded into a non-regulated product • Digital photo frames – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • POS Pole Display • Refrigerator with built in TV • Electronic whiteboards with no display • 3D Glasses • Portable Displays less than 10" – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|--|---|----------------------------------|--|---|
| DESKTOP PRINTERS, SCANNERS AND FAX MACHINES | <p>A printing or facsimile device designed to reside on a desktop or similar work surface.</p> <p>Desktop imaging equipment designed for use in conjunction with a personal computer or network system that converts hard copy data such as text, photos, etc., into an electronic file.</p> <p>May be a “multi-function” or “all in one” device that performs different tasks such as copy, scan, fax, print, etc., in which case the integrated product is subject to a single EHF.</p> <p>Fax machines packaged with cordless telephone handsets in a single OEM packaged under a single SKU are subject to one EHF.</p> <p>Includes various printing technologies, such as Laser & LED (electrophotographic), ink jet, dot matrix, thermal, dye sublimation, etc.</p> | <p>\$3.00</p> | <p>Standalone:</p> <ul style="list-style-type: none"> • Desktop printers • Camera dock printers • Desktop label, barcode, card printers • Desktop fax machines • Desktop computer scanners • Desktop business card scanners • Desktop cheque scanners • Desktop photo negative scanner • Fax machine drum scanners | <ul style="list-style-type: none"> • Floor standing printers • Point of sale (POS) receipt printers • Handheld printers such as calculators with printing capability or label-makers • Non-standalone printers that are embedded into non- regulated products • Floor standing drum scanners • MRI equipment • Barcode scanners • Cables or other accessories |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|-----------------------------|--|----------------------------------|---|---|
| COMPUTER PERIPHERALS | <p>A manual input keying or pointing device, such as a mouse, a keyboard, or a similar device, designed for use with a desktop or portable computer.</p> <p>Includes both wired and wireless devices.</p> <p>A combination of a single mouse and a single keyboard packaged in one OEM box under a single SKU is subject to one EHF.</p> | \$0.50 | <ul style="list-style-type: none"> • Mouse • Trackball • Keyboard • Keypad • Touchpad Mouse • Media readers • Network-Attached Storage Devices (NAS) • External CD-ROM, DVD, HD-DVD and Blu-ray drives • External floppy-disk drives • External Optical disk drives • External solid state drives • External zip drives • External hard drives, including home media network hard drives • PVR expander drives • Numeric keypads • Controllers • Graphics tablets without display • HDMI switches • Joysticks • Video game console controllers, balance boards, sensors and other input devices • Modems • Multimedia controllers • Laptop Docking Stations/Port Replicators | <ul style="list-style-type: none"> • Graphic tablets with no display • Stylus pens • Magnetic stripe readers • Hubs, Switches and Routers • Mobile internet sticks |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT NOT INCLUDED IN THE OBLIGATED CATEGORY |
|--|--|----------------------------------|--|--|
| PERSONAL / PORTABLE AUDIO/VIDEO PLAYBACK AND/OR RECORDING SYSTEMS | <p>A portable device that operates on plug, battery power and/or powered directly from the device it is connected to (i.e. computer), including:</p> <ul style="list-style-type: none"> • Clock radios • Computer/docking speakers • Compact/folding speakers • Portable stereos/ tape players/radios • Personal CD players • Portable audio recorders • Portable amplifiers • Headphones • Computer/phone and/or voice recorder microphones • MP3 players • Voice recorders • Analog and digital cameras and video cameras/camcorders • Non-cellular enabled PDAs • Portable scanners • Portable printers • Webcams • Digital frames • Portable displays • Portable projectors | <p>\$0.50</p> | <ul style="list-style-type: none"> • Portable AM/FM radios • Clock radios • Portable stereos • Portable tape players/recorders • Portable Disc (CD, DVD, Blu-ray, etc.) players/recorders • MP3 players • Odometers • Portable docking/compact speakers (wired and wireless including Wi-Fi or Bluetooth) • Portable cassette or digital audio/voice recorders • Headphones • Mini earphones and microphones • Headsets (wired and wireless, including Bluetooth) • Microphones for use with an obligated product • Digital and non-digital cameras • Digital photo key chains • Video cameras/camcorders • Personal Digital Assistants (PDAs) which are not enabled to connect to cellular networks • Multi-function satellite radios that include CD, MP3, FM radio or other audio functions. • Portable scanners (Business card scanners; Photo negative Scanners) • Portable printers • Web cameras • Digital frames • Portable displays – screen size less than 10" • Baby audio/video monitor and camera systems | <ul style="list-style-type: none"> • Single-use or one-time use cameras • Children’s toy cameras • Commercial, Professional or industrial video cameras and/or microphones • Industrial/commercial quality microphones used for musical recording, performances and/or public address • Cables or other accessories • Cellular-enabled PDAs • Industrial or commercial handheld or mobile computing devices • Electronic Dictionaries • 3D Glasses • Personal FM transmitters • Portable Point of sale (POS) receipt printers |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|--|---|----------------------------------|---|---|
| PERSONAL / PORTABLE AUDIO/VIDEO PLAYBACK AND/OR RECORDING SYSTEMS (continued) | <p>Portable audio/video players supplied with accessory headphones in a single OEM package under a single SKU are subject to one EHF.</p> <p>Multiple speakers supplied in a single OEM package and sold under a single SKU are subject package and sold under a single SKU are subject</p> | <p>\$0.50</p> | <ul style="list-style-type: none"> • Citizen band radios • Docking stations for portable digital players • Sound, image and wave storage devices • Portable two-way radios/FRS radios/GMRS radios/walkie-talkies/CB radios • Handheld video game systems • E-book readers • Intercoms • Global Positioning System (GPS) receivers and • components designed to be used for leisure or • sports (e.g. hiking GPS) • Satellite radio receivers (hand-held) | |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|---|---|----------------------------------|---|---|
| HOMEAUDIO/ VIDEO PLAYBACK AND/OR RECORDING SYSTEMS | <p>Audio and video playback and/or recording systems (mini/mid/full size) or system components for residential use but may also be used for institutional, commercial or industrial applications, including:</p> <p>Audio equipment:</p> <ul style="list-style-type: none"> • Amplifiers • Receivers • Speakers • CD players (single and multi-disc units) • Radios • Turntables • Cassette and other tape players <p>Video equipment:</p> <ul style="list-style-type: none"> • Data/multi-media projectors • VCRs • DVRs • PVRs • DVD players • Blu-ray players • Laser Disc players • Security cameras <p>Cable and Satellite TV Equipment</p> <p>Multiple speakers supplied in a single OEM package and sold under a single SKU are subject to one EHF.</p> <p>Multiple security cameras supplied in a single OEM package and sold under a single SKU are subject to one EHF.</p> | <p>\$2.00</p> | <ul style="list-style-type: none"> • AM/FM Radios • Video Cassette Recorders (VCRs) • Digital Video Recorders (DVRs) • Personal Video Recorders (PVRs) • Disc players/ recorders (DVD, Blu-ray, etc.) • Laser Disc players/recorders • Cable & satellite receivers • Set-top boxes including digital TV <p>Home stereo systems or components, including:</p> <ul style="list-style-type: none"> • Amplifiers • Receivers • CD or tape decks • Turntables/record players • Home speaker systems such as 5.1 and 7.1 speaker packages supplied without amplifier or video player, including computer (multi-media) speakers • Other digital music recorders/players • Audio docking stations <ul style="list-style-type: none"> • Analog & digital video cameras for home security or other closed circuit home use <ul style="list-style-type: none"> • Non-portable combination multimedia players • Built-in or wall-mounted intercoms | <ul style="list-style-type: none"> • Industrial/commercial quality amplifiers, speakers and related equipment used for musical recording, performances and/or public address • Satellite dishes • Cables or other accessories • Alarm clocks and wall clocks • Audio baby monitors and receivers – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • 3D Glasses • Overhead projectors • Web cameras – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • Digital Frames – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • Clock radios – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|---|--------------------|----------------------------------|--|---|
| HOMEAUDIO/ VIDEO PLAYBACK AND/OR RECORDING SYSTEMS (continued) | | \$2.00 | <ul style="list-style-type: none"> • Home/non-commercial video gaming equipment • consoles (TV or screen required) • Karaoke machines | |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|--|--|----------------------------------|---|---|
| HOME THEATRE IN A BOX (HTB) SYSTEMS | Audio and/or video equipment packaged by an OEM as a complete system intended to provide an “in-home” theatre experience. | \$2.00 | HTB audio and video equipment packaged as a complete unit, including: amplifiers, disc players, speakers, sub-woofers and all associated cables, etc. | <ul style="list-style-type: none"> • Home speaker systems— SEE HOME A/V category: PRODUCTS INCLUDED |
| VEHICLE AUDIO & VIDEO SYSTEMS | <p>Aftermarket audio and/or video systems or system components intended to replace or supplement OEM factory-installed systems or system components.</p> <p>Multiple speakers supplied in a single OEM package and sold under a single SKU are subject to one EHF.</p> | \$2.00 | <p>Aftermarket vehicle audio & video components, including:</p> <ul style="list-style-type: none"> • Dash radio, DVD, CD and/or cassette players (including those with integrated satellite radio and/or GPS/navigation functions) • Amplifiers • Equalizers • Speakers (incl. recessed wall and ceiling speakers) • Video player systems • Video displays (including those with built-in tuners) • Rear vision cameras • Standalone or dash GPS or other navigation systems designed specifically for use in a vehicle (e.g. automotive or marine receivers and components) • Satellite radio receivers • Vehicle telecommunications equipment | <ul style="list-style-type: none"> • Factory-installed audio and video equipment developed for imbedded use in motor vehicles of any type (includes marine-craft radios and intercom systems) • Related cables or other accessories |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|---|--|----------------------------------|--|--|
| NON-CELLULAR TELEPHONES AND ANSWERING MACHINES | <p>A telecommunication device with a handset, multiple handsets or microphone and speaker systems that is used to transmit and receive sound (most commonly speech).</p> <p>A device that is designed to record sound from incoming calls over a telephone line or VOIP line.</p> <p>Accessory handsets packaged with a telephone in a single OEM package under a single SKU are subject to one EHF.</p> <p>Accessory speakers/microphones packaged with a speaker/conference phone in a single OEM package under a single SKU are subject to one EHF.</p> | \$0.60 | <ul style="list-style-type: none"> • Telephones (corded and cordless, VoIP, satellite phones) • Telephone line answering machines (cassette and digital) • Speaker/Conference Phone | <ul style="list-style-type: none"> • Telecommunications equipment developed for imbedded use in motor vehicles of any type • Two-way radios (walkie-talkies) SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • Commercial-grade "pay phones" • Audio baby monitors and receivers – SEE PERSONAL/PORTABLE A/V category: PRODUCTS INCLUDED • Fax machines – SEE PRINTERS category: PRODUCTS INCLUDED |

| OBLIGATED PRODUCTS | PRODUCT DEFINITION | ENVIRONMENTAL HANDLING FEE (EHF) | PRODUCTS INCLUDED IN THE OBLIGATED CATEGORY | PRODUCT <u>NOT</u> INCLUDED IN THE OBLIGATED CATEGORY |
|------------------------------------|---|----------------------------------|--|--|
| CELLULAR DEVICES AND PAGERS | <p>A handheld mobile communication device that utilizes cellular networks to transmit voice or data signals.</p> <p>Includes cell-enabled Personal Digital Assistants (PDAs).</p> | \$0.07 | <ul style="list-style-type: none"> • Cellular phones • Smart phones (cell-enabled) • Pagers • Phablet/smart phone hybrids | <ul style="list-style-type: none"> • Wireless devices that do not utilize cellular networks to operate • Non-cell-enabled PDAs (Included in Personal/Portable Image, Audio, and Video Devices category) |
| COUNTERTOP MICROWAVE OVENS | <p>A self-contained cooking appliance, designed to sit (non-enclosed) on a countertop, table, or shelf, that uses electromagnetic energy to perform the cooking function</p> | \$4.50 | <ul style="list-style-type: none"> • May include microwave ovens from hotel rooms or restaurant units similar in chassis type to household type units | <ul style="list-style-type: none"> • OTR/MHC: built-in or over-the-range microwave ovens and microwave/range hood combinations; microwaves that are built into a wall assembly or combined with a thermal wall oven; microwave ovens that are installed under a counter, in a wall cabinet, or attached to the wall of a cabinet • Large industrial or commercial kitchen or microwave ovens |

NOTES:

1. Products included / excluded are examples based upon member inquiries but do not represent an exhaustive list of all obligated products by category. Members must assess products against the definitions of each obligated product category to determine the applicability and appropriate EHF, and may consult the program for assistance in clarification where required.
2. Products identified as 'Included in the Obligated Category' are subject to the EHF for that category; Products identified as 'Not Included in the Obligated Category' are not subject to the EHF for that category, however, members must consider all obligated product categories to determine if the product meets the definition of another obligated productcategory.
3. There are two instances where multiple obligated products may be packaged by the original equipment manufacturer (OEM) in a single physical package carrying a single SKU (stock-keeping unit) and be subject to one EHF for the entire package of products:
 - a. Where the product definition above specifically includes multiple obligated products, or
 - b. Where a product is comprised of different functional components that are sometimes sold separately, but in this case are integrated into a single operating unit. In this instance the integrated product carries the single highest EHF. Examples include:
 - Phase I*
 - All-in-One computer system (desktop CPU with integrated display device)
 - Display device with integrated video player (DVD, VCR, Blu-ray, etc.)
 - Printer / Scanner / Fax (all-in-ones)
 - Phase II*
 - Multiple drive players integrated into a single device – e.g. DVD & VCR player
 - Fax machine with a corded telephone handset
 - Telephone with built-in answering machine
 - Security display monitor with built-in digital video recorder (DVR)
4. **The list of Product Definitions and Clarifications may be revised periodically by the Program.**